



## FSC Controlled Wood Standard for SLIMF Forest Management Enterprises Monitoring Checklist

Name \_\_\_\_\_ Representative \_\_\_\_\_ Date of Assessment \_\_\_\_\_

Lead Center Assessor \_\_\_\_\_ Center Assessor \_\_\_\_\_ Center Assessor \_\_\_\_\_

For each indicator presented below, the assessment team's determination of conformance and relevant findings are presented. Where applicable, observations are referenced under the indicator and detailed on the Observation Form (CFWC-FM-04).

<b>Criteria 1: System Requirements &amp; Supplying FSC Controlled Wood</b>	
<u><b>Indicators</b></u>	<u><b>Findings</b></u>
<b>Criterion Level Remarks:</b>	
1.1. The Forest Management Enterprise (FME) shall have procedures or work instructions to ensure implementation of all elements specified in Criteria 1-7.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
1.2. The FME shall include the following information on all invoices issued for sales of FSC Controlled Wood products" a) the name and address of the buyer b) the date on which invoice was issued c) the description of the product d) the quantity of the products sold e) reference to the products batch and/or to related shipping documentation f) sufficient to link the invoice to the goods received by the customer g) the certification code issued by an FSC accredited Certification Body	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
1.3. Invoices and shipping documents for sale of FSC controlled wood shall always include the claim "FSC Controlled Wood". Where sale or transport documents cover consignment of both controlled and uncontrolled wood it shall specify which products are sold or transported as "FSC Controlled Wood".	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 2: Specification of Scope of Evaluation</b>	
<u><b>Criteria and Indicators</b></u>	<u><b>Findings</b></u>
<b>Criterion Level Remarks:</b>	
2.1. The FME shall specify the Forest Management Units (FMUs) under its management.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
2.2. The FME shall specify the FMUs to be included in the scope of evaluation for compliance with this	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/>

standard.	No <input type="checkbox"/> N/A <input type="checkbox"/>
2.3. If some FMUs under the control of the FME are not included in the scope evaluation for compliance with this standard, then the FME shall implement a tracking system to ensure wood from FMUs included in the scope of the standard to be reliably identified as such.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 3: Illegally Harvested Wood</b>	
<b><u>Criteria and Indicators</u></b>	<b><u>Findings</u></b>
<b>Criterion Level Remarks:</b>	
3.1. The FME shall provide verifiable evidence of legal authority to harvest in the FMU.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.2. The FME shall provide verifiable evidence of payment of royalties or other fees (i.e. fees on harvesting rights).	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
3.3. The FME shall demonstrate that species, qualities and quantities are classified and measured according to legally-prescribed or acceptable standards in the jurisdiction.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 4: Wood Harvested in Violation of Traditional and Civil Rights</b>	
<b><u>Criteria and Indicators</u></b>	<b><u>Findings</u></b>
<b>Criterion Level Remarks:</b>	
4.1. The FME shall demonstrate that there is no evidence violation of the ILO Fundamental Principles and Rights at Work in the FMU.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
4.2. The FME shall demonstrate that there are no conflicts relating to land tenure or land use rights of traditional or indigenous peoples groups in the FMU's within control of the FME for which a resolution process has not been agreed by the main parties to dispute.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 5: Wood Harvested in Forests in which High Conservation Values are Threatened by Management Activities</b>	
<b><u>Criteria and Indicators</u></b>	<b><u>Findings</u></b>
<b>Criterion Level Remarks:</b>	
5.1. Forest management activities in the FMU shall not threaten high conservation values in accordance with section 5.2 below.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
5. 2. High conservation values in the FMU shall be identified and precautionary measures taken by the FME to eliminate potential negative implacts to high conservation values present.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 6: Wood Harvested from Areas Being Converted from Forests and Other Wooded Ecosystems to Plantations or Non-Forest Uses.</b>	
<b><u>Criteria and Indicators</u></b>	<b><u>Findings</u></b>

<b>Criterion Level Remarks:</b>	
6.1. No conversion of natural and semi-natural forests and other wooded ecosystems such as woodlands and savannahs to plantations or non-forest uses take place, except as permitted by 6.2 below.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
6.2. The FME shall provide verifiable evidence to demonstrate compliance with 6.1 above. Forest conversion to plantations of non-forest uses shall not occur, except in circumstances where conversion: <ul style="list-style-type: none"> <li>a) entails a very limited portion of the FMU</li> <li>b) does not occur on high conservation value forested areas, and;</li> <li>c) will enable clear, substantial additional, secure long term environmental and social benefits across the FMU.</li> </ul>	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	

<b>Criteria 7: Wood from FMUs in Which Genetically Modified Trees are Planted</b>	
<b><u>Criteria and Indicators</u></b>	<b><u>Findings</u></b>
<b>Criterion Level Remarks:</b>	
7.1. The FME shall ensure that no planted GM trees are present in the FMU.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
7.2. The FME shall provide verifiable evidence to demonstrate compliance with 7.1 above.	Compliance with Indicator: Full <input type="checkbox"/> Partial <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
NOTES:	